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# THEORY OF THRESHOLD ENERGY OF ION-INDUCED DESORPTION BY A FEW-COLLISION MODEL

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#### Abstract

Assuming a few-collision sequence near the surface for the nearthreshold ion-induced desorption, analytic formulae for the threshold energies of the ion-induced desorption are derived for possible combinations of the projectile, the substrate and the adsorbate under the condition that the adsorbate atoms are isolated from each other. The obtained formulae include explicitly the angle of incidence. The ion-induced desorption thresholds are found to depend strongly on the combination of the projectile, the substrate atom, and the adsorbate atom.

The threshold mechanism corresponds to the minimum energy-loss process. The minimum energy-loss condition says that the particle with the smallest mass among the projectile, the substrate atom and the adsorbate atom should move in solids.

It is found that the atomic mass of the projectile is larger than that of the substrate atom, the desorption threshold is a decreasing function of the angle of incidence. On the other hand, when the atomic mass of the projectile is less than those of the substrate atom and the adsorbate atom or when the atomic mass of the adsorbate atom is less than those of the substrate atom and the projectile, the desorption thresholds are not sensitive to the angle of incidence.

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### I. INTRODUCTION

The surface of the wall material in a fusion reactor is usually covered with adsorbate atoms such as H, D, S, etc. Due to bombardment of ion, electron and photon, these adsorbed atoms are released into the plasma boundary. Thus, surface layers are a significant source of both plasma particles and impurities and therefore play an important role for hydrogen recycling and impurity flux. Yields of the ion-induced desorption are much higher by a factor of two or three than those of electron-induced and photon-induced desorptions. Therefore, the concern of this paper is the ion-induced desorption.

The temperature of the edge plasma is very low and usually less than 100 eV, meanwhile the binding energy of adsorbed atom is of the order of 0.5 eV for physisorption and is of the order of several electron volts for chemisorption. Therefore it is very important to know the threshold energy of the ion-induced desorption.

About ten years ago Liu et al. investigated theoretically and experimentally the threshold energy for the desorption of hydrocarbons from silver under Ar<sup>+</sup> ion impact[1]. Using a single collision model, they derived a simple formula for the threshold energy, but their model did not take into account the effect of the surface binding energy and the collision between the adsorbate atom and the substrate surface explicitly.

Up to now there is no theory on the threshold energy of the ioninduced desorption which can be applied to any combination of a projectile, a substrate atom, and an adsorbate atom. Employing the binary collision approximation and a-few-collision model[2], in this paper, a simple analytic formula for the threshold energy of the ion-induced desorption will be derived under the assumption that the adsorbed atoms are completely isolated from each other.

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## **II**. A FEW-COLLISION MODEL AND THEORY OF DESORPTION THRESHOLDS

Recent computer simulations on the low-energy sputtering indicate that the collision sequences leading to the ejection of target atoms are very short for the near-threshold sputtering and that the collision events take place at topmost layer or the second layer [3,4]. It is quite reasonable to assume that this situation would be similar to the ion induced-desorption process in the near-threshold energy region.

In the case that adsorbed atoms are completely isolated from each other, three different mechanisms can contribute to the ion-induced desorption process[5], i.e., the direct knockoff contribution (Mechanism 1), the reflected-ion contribution (Mechanism 2), and the sputtered-atom contribution (Mechanism 3). Schematic representations of these three mechanisms are given in Fig. 1, where Mechanism 3 is further divided into



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Fig. 1 Schematic representations of ion-induced desorption mechanism, where the adsorbate atom is assumed to be completely isolated.

two patterns, i.e., Mechanism 3A and Mechanism 3B. In the case of Mechanism 3A the projectile moves inside the substrate, while the substrate atom moves inside the substrate in the case of Mechanism 3B.

The most important factor of determining the threshold energy is the binding force between the adsorbed atom and substrate atoms. Physisorption is due to van der Waals force which is a long-range interaction. Therefore the planar potential is very reasonable as the surface barrier between an outgoing adsorbed atom and substrate atoms. On the other hand, chemisorption is due to exchange or sharing of electrons between an adsorbate atom and a substrate atom, since the speeds of outgoing adsorbate atoms due to the low-energy ion bombardment are very slow as compared with those of the valence electrons. Then, in this paper, we will employ the planar potential as the surface barrier for the ion-induced desorption.

### 2.1 Mechanism 1

In the case of Mechanism 1 an incoming projectile hits an adsorbate, and the adsorbate is reflected from the substrate surface either directly or after several collisions. Let  $E_0$  be the incident energy of the projectile, and  $\theta_0$  be the angle of incidence which is measured from the surface normal (see Fig. 2).

If the atomic configuration of the solid is fixed, the scattering angles of subsequent collisions are a function of the impact parameter of the first collision between the projectile and the adsorbate atoms. However, it is reasonable that for the threshold desorption in an amorphous target any atomic configuration is allowed [2]. This assumption means that each scattering angle of the successive collisions is an independent variable [2].

Since the minimum energy-loss process is the equal-scattering angle one in the incident plane, the scattering angles of the successive (m + 2) collisions after the first collision are assumed to be equal. Then, the

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energies and total scattering angles are given as

$$E_1 = E_0 \gamma_{13} \sin^2 \frac{\phi_1}{2} , \qquad (1)$$

$$E_{m+3} = E_1 (1 - \gamma_{32} \sin^2 \frac{\beta_m}{2})^{m+2} , \qquad (2)$$

$$\theta_1 = \theta_0 + (\pi - \phi_1)/2$$
, (3)

$$\theta_{m+3} = \theta_1 + (m+2)\alpha_m , \qquad (4)$$



Fig. 2 The schematic representation of ion induced desorption process due to Mechanism 1

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where  $\phi_1$  is the scattering angle in the center-of-mass (CM) system at the first collision,  $\beta_m$  is the CM scattering angle of the equal-angle scattering process inside the solid,  $\alpha_m$  is the scattering angle in the laboratory (L) system, and  $\theta_j$  is the total scattering after the j-th collision which is measured from the surface normal. The energy transfer factor  $\gamma_{ij}$  is given as

$$\gamma_{ij} = \frac{4M_iM_j}{(M_i + M_j)^2}$$
, (5)

where  $M_1$ ,  $M_2$  and  $M_3$  are the atomic masses of projectile, the substrate atom, and the adsorbate atom, respectively.

Since we assume the planar potential as the surface barrier, the vertical component  $E^{I}_{+}$  of the energy of the outgoing adsorbate atom is given as follows:

$$E^{I}_{+} = E_{0} r_{13} \sin^{2} \frac{\phi_{1}}{2} (1 - r_{32} \sin^{2} \frac{\beta_{m}}{2})^{m+2}$$

$$\times \sin^2(\theta_0 - \frac{\varphi_1}{2} + (m+2)\alpha_m)$$
. (6)

Since we assume random configuration of substrate atoms for the near threshold desorption, the scattering angle  $\phi_1$  and  $\alpha_m$  are independent variables. The threshold energy of this mechanism corresponds to the maximum value of  $E^I_+$  which can be obtained by differentiating  $E^I_+$  step by step with respect to  $\phi_1$  and  $\alpha_m$ .

From the condition of  $\delta E^{I}_{+}/\delta \alpha_{m} = 0$  we have

$$\theta_{0} + \frac{\pi - \phi_{1}}{2} + (m + 2)\alpha_{m} + \theta = \pi , \qquad (7)$$

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where

$$\theta = \arccos(1 - \mu_{32}^2 \sin^2 \alpha_m)^{1/2}$$
 (8)

with the definition of  $\mu_{32} = M_3 / M_2$ . Using the maximum condition of Eq. (7) the vertical component  $E^I_+$  can be rewritten in the form

$$E^{I}_{+} = E_{0} \gamma_{13} \sin^{2} \frac{\phi_{1}}{2} \times \left( \frac{(1 - \mu_{32}^{2} \sin^{2} \alpha_{m})^{1/2} + \mu_{32} \cos \alpha_{m}}{1 + \mu_{32}} \right)^{2m+4} (1 - \mu_{32}^{2} \sin^{2} \alpha_{m}).$$
(9)

The vertical component  $E^{I}_{+}$  of Eq. (9) is a function of  $\phi_{1}$ , and the maximum condition of  $\delta E^{I}_{+}/\delta \phi_{1} = 0$  yields

$$\theta = \frac{\pi - \phi_1}{2} \qquad (10)$$

Finally we have the expression of the maximum vertical component  $E^{I}_{+}$ , i.e.,

$$E^{I}_{+} = E_{0} r_{13} \cos^{4} \theta \left( \frac{\cos \theta + (\mu_{32}^{2} - \cos^{2} \theta)^{1/2}}{1 + \mu_{32}} \right)^{2m+4}$$
(11)

where heta is the solution of the following transcendental equation:

$$2\theta + (m + 2)\arccos \frac{(\mu_{32}^2 - \sin^2 \theta)^{1/2}}{\mu_{32}} = \pi - \theta_0.$$
(12)

When the maximum vertical component  $E^{I}_{+}$  is equal to the binding energy  $E_{B}^{}$ , the incident energy  $E_{0}^{}$  corresponds to threshold energy  $E^{I}_{th}$  of Mechanism 1, i.e.,

$$E^{I}_{th} = \frac{E_{B}}{\gamma_{13}} \frac{1}{\cos^{4}\theta} \left( \frac{1 + \mu_{32}}{\cos^{4} + (\mu_{32}^{2} - \sin^{2} \theta)^{1/2}} \right)^{2m+4} (13)$$

Now, let us discuss the condition of the minimum energy-loss process. The recoil angle of the first collision is equal to the supplementary angle  $\theta$  of the deflection angle of the outgoing adsorbate due to the surface barrier. The angle  $\theta$  is equal to the scattering angle in the L system when the projectile (adsorbate) is at rest and the target (substrate) atom is moving, and so there is a very simple relation between  $\alpha_m$  and  $\theta$ , i.e.,  $\alpha_m + \theta = \beta_m$ . This relation means that the transcendental equation (12) can be solved analytically only for m = 0, where m = 0 corresponds to the three-collision-desorption process.

Unfortunately, the transcendental equation (12) with arbitrary m cannot be solved analytically. The following approximate solution is very useful in the whole region of  $\mu_{32}$ :

$$\theta = \mu_{32} \sin \frac{\pi - \theta_{0}}{m + 2 + 2\mu_{32}}$$

$$= \frac{\pi - \theta_{0}}{m + 2 + 2\mu_{32}} \mu_{32}.$$
(14)

The comparison between the exact solutions and the approximate values calculated from Eq.(14) is shown in Fig. 3 for m = 2. The agreement is very good in the whole region of the mass ratio  $\mu_{32}$ , especially for large angles of incidence. The corresponding approximate formula for the

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### threshold energy is given as

$$\widetilde{E}^{I}_{th} = \frac{E_{B}}{\gamma_{13}} \frac{1}{\cos^{2}(\tau_{32,m} \mu_{32})} \times \left(\frac{1 + \mu_{32}}{\cos(\tau_{32,m} \mu_{32}) + \mu_{32}\cos(\tau_{32,m})}\right)^{2m+4}_{th}$$
(15)

where



Fig. 3 Comparison of the exact solutions with the approximate solutions which are calculated from Eq. (14). The circular marks mean the exact solution of Eq. (12), and the solid lines correspond to approximate solutions.

The collision number m of Eq. (13) means the number of successive col-

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lisions in the substrate which does not include the collisions with substrate atoms at the topmost layer. From the theoretical point of view it is very difficult to determine this collision number m.

In Fig. 4 the m-dependences of threshold energies of Mechanism 1 are shown as a function' of the mass ratio  $\mu_{32}$ , where the approximate threshold energies calculated from Eq. (15) are also drawn in broken lines. As the mass ratio becomes large, threshold energies depend strongly on the mass ratio and the collision number, because the energy loss due to one collision becomes large. The present approximate formula is excellent for  $\mu_{32} < 1$ .



Fig. 4 Comparison of the exact threshold energies  $E^{I}_{th}$  (Eq.(13)) with the approximate  $\widetilde{E}^{I}_{th}$  which are calculated from Eq. (15), where the angle of incidence is normal, and m is the collision number inside the substrate material. The solid lines and the broken lines correspond to  $E^{I}_{th}$  and  $\widetilde{E}^{I}_{th}$ , respectively.

2.2 Mechanism 2

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In the case of Mechanism 2 a projectile penetrates furtherinto the substrate target after collision with the substrate atom, and it is reflected at some depth in the substrate. On its way out the reflected projectile knocks off the adsorbed atom on the topmost layer.

The schematic representation of Mechanism 2 is drawn in Fig. 5. After the first collision the projectile with energy  $E_1$  is assumed to make (m+1) equal-angle scatterings with substrate atoms. The vertical component  $E^{II}$ , of the energy  $E_{m+3}$  of the knocked off adsorbate is given as

$$E^{\Pi} = E_0 \gamma_{13} (1 - \gamma_{12} \sin^2 \frac{\phi_1}{2}) (1 - \gamma_{12} \sin^2 \frac{\beta_m}{2})^{m+1} \sin^2 \frac{\phi_2}{2}$$

$$\times \sin^2 (\theta_0 + \theta_a + (m+1)\alpha_m - \frac{\phi_2}{2}), \qquad (17)$$

where  $\phi_2$  is the CM scattering angle at the (m + 3)th collision of the projectile with the adsorbate atom, and  $\theta_a$  is the scattering angle in the L system at the first collision which is represented in terms of the CM scattering  $\phi_1$ , i.e.,

$$\theta_{a} = \arctan \frac{\sin \phi_{1}}{\mu_{12} + \cos \phi_{1}} \qquad (18)$$

Mechanism 2 of the ion-induced desorption is very similar to Mechanism 2C of the sputtering threshold in Ref. 2. Then we can easily know the maximum condition of the vertical component  $E^{\prod}_{+}$  of Eq. (17). The maximum conditions are the following:

1) The scattering angle  $\theta_{a}$  is equal to the scattering angle  $\alpha_{m}$  which is the scattering angle in the L system during the successive (m + 1) equalangle scattering process.

2) The recoil angle is equal to the angle  $\theta_b$  which is the scattering angle in the L system when the projectile is at rest and the substrate atom is moving, i.e.,



Fig. 5 The schematic representation of ion-induced desorption of Mechanism 2

$$\theta_{b} = \arctan \frac{\mu_{12} \sin \phi_{1}}{1 + \mu_{12} \cos \phi_{1}}$$
(19)

Therefore there is a simple relation of  $\theta_a + \theta_b = \phi_1$ .

3) The supplementary angle of the deflection angle of the outgoing adsorbate is equal to the angle  $\theta$  b.

Using the above-mentioned maximum conditions, we have the following expression for the threshold energy  ${\rm E}^{II}$  th of Mechanism 2:

$$E^{\Pi}_{th} = \frac{E_{B}}{\gamma_{13}} \frac{(1 + \mu_{12})^{2m+4}}{(1 + \mu_{12} \cos \phi_{1})^{4} (1 + 2\mu_{12} \cos \phi_{1} + \mu_{12}^{2})^{m}}, \qquad (20)$$

where  $\phi_1$  is the solution of the following transcendental equation:

$$2\theta_{b} + (m+2)\theta_{a} = \pi - \theta_{0} . \qquad (21)$$

Only for m = 0 the above transcendental equation can be solved analytically, i.e.,  $\phi_1 = (\pi - \theta_0)/2$ , where m = 0 means the threecollision-desorption process.

Mechanism 2 is in a sense the inverse process of Mechanism 1. Therefore, the expression of the threshold energy should have the similar form. With the help of Eq. (19) Eq. (20) can be written in the form

$$E^{\Pi}_{th} = \frac{E_{B}}{\gamma_{13}} \frac{1}{\cos^{4}\theta_{b}} \left( \frac{1 + \mu_{12}}{\cos\theta_{b} + (\mu_{12}^{2} - \sin^{2}\theta_{b})^{1/2}} \right)^{2m+4} (22)$$

This expression is completely the same as that of Eq. (13) if one replace  $\mu_{12}$  by  $\mu_{32}$ , and  $\theta_b$  is the solution of the equation

$$2\theta_{\rm b} + (m+2)\arccos \frac{(\mu_{12}^2 - \sin^2\theta_{\rm b})^{1/2}}{\mu_{12}} = \pi - \theta_0, \qquad (23)$$

and similarly to Mechanism 1 we have the following approximate formula

$$\widetilde{E}^{\Pi}_{th} = \frac{E_{B}}{r_{13}} \frac{1}{\cos^{4}(\tau_{12,m}\mu_{12})} \times \left(\frac{1+\mu_{12}}{\cos(\tau_{12,m}\mu_{12})+\mu_{12}\cos(\tau_{12,m})}\right)^{2m+4}.$$
 (24)



Fig. 6 Comparison of the exact threshold energies  $E^{II}_{th}$  with the approximate ones  $\tilde{E}^{II}_{th}$  which are calculated from Eq. (24), where the angle of incidence is normal, and m is the collision number inside the substrate material. The solid lines and the broken lines correspond to  $E^{II}_{th}$  and  $\tilde{E}^{II}_{th}$ , respectively.

In Fig. 6. the m-dependences of threshold energies of Mechanism 2 are shown as a function of  $\mu_{12}$  for the normal incidence, where the approximate threshold energies are also drawn in broken lines. The  $\mu_{12}$ -dependences and the m-dependences of  $E^{II}_{th}$  are completely the same as those of  $E^{I}_{th}$  which are shown in Fig. 4.

### 2.3 Mechanism 3

Mechanism 3 means the sputtered-atom contribution, and the outward flux of sputtered substrate atoms knock off adsorbate atoms on their way out. Therefore, Mechanism 3 is the same mechanism as the near-threshold

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mechanism of sputtering. Then Mechanism 3 can be divided into two patterns, i.e., Mechanism 3A and Mechanism 3B.

In the case of the Mechanism 3A the projectile after the first collision makes several scatterings with substrate atoms, and finally knocks off the substrate atom near the surface. This sputtered atom hits the adsorbate atom at the outermost layer. This mechanism is important for the case where the the atomic mass of the projectile is smaller than that of the substrate atom (see Fig. 7).



Fig. 7 Schematic representation of ion-induced desorption of Mechanism 3A and 3B.

In th case of Mechanism 3B, the primary recoil atom is produced at the first collision. After several equal-mass collisions, the primary recoil atom kicks off the adsorbed atom at the topmost layer (see Fig. 7). During a sequence of equal mass collisions the second or higher recoil atom will be produced, but from the viewpoint of threshold energy the higher-order recoil atom can be regarded as the scattering of the primary recoil atom [2].

First of all let us discuss Mechanism 3A. As is shown in the upper column of Fig. 7, after the first collision the projectile with energy  $E_1$ is assumed to make the m equal-angle collisions with the substrate atoms. The vertical component  $E^A_+$  of the energy  $E_{m+3}$  of the outgoing adsorbate atom is given as

$$E^{A}_{+} = E_{0} \gamma_{12} \gamma_{23} (1 - \gamma_{12} \sin^{2} \frac{\phi_{1}}{2}) (1 - \gamma_{12} \sin^{2} \frac{\beta_{m}}{2})^{m}$$

$$\times \sin^{2} \frac{\phi_{2}}{2} \sin^{2} \frac{\phi_{3}}{2} \cos^{2}(\theta_{0} + \theta_{a} + m\alpha_{m} - \frac{\phi_{2} + \phi_{3}}{2}), (25)$$

Where  $\phi_2$  and  $\phi_3$  are the CM scattering angles of the (m + 2)th- and the (m + 3)th- collisions, respectively. This expression is the same as that of Mechanism 2C of sputtering thresholds of Ref. 2 except for the additional term  $\gamma_{23}$ .

Differentiations with respect to  $\phi_3$ ,  $\phi_2$ ,  $\beta_m$ ,  $\phi_1$  yield the following expression for the threshold energy  $E^A_{th}$  of Mechanism 3A:

$$E^{A}_{th} = \frac{E_{B}}{\gamma_{12}\gamma_{23}} \frac{(1 + \mu_{12})^{2m+2}(1 + 2\mu_{12}\cos\phi_{1} + \mu_{12}^{2})^{2-m}}{(1 + \mu_{12}\cos\phi_{1})^{6}}, \quad (26)$$

$$E^{A}_{th} = \frac{E_{B}}{r_{12}r_{23}} \frac{1}{\cos^{6}\theta_{b}} \left( \frac{1 + \mu_{12}}{\cos\theta_{b} + (\mu_{12}^{2} - \sin^{2}\theta_{b})} \right)^{2m+2}, \quad (27)$$

or

where  $\phi_1$  and  $\theta_a$  are the solutions of the following transcendental equations:

$$3\theta_b + (m+1)\theta_a = \pi - \theta_0$$
, (28)

$$3\theta_{\rm b}$$
 + (m+1)arccos  $\frac{(\mu_{12}^2 - \sin^2\theta_{\rm b})^{1/2}}{\mu_{12}} = \pi - \theta_0$ , (29)

Here,  $\theta_{a}$  and  $\theta_{b}$  of Eq. (28) are functions of  $\phi_{1}$  of which explicit expression are given by Eqs. (18) and (19), and the approximate formula for  $E^{A}_{th}$  is easily obtained in a similar manner:

$$\widetilde{E}^{A}_{th} = \frac{E_{B}}{\tau_{12}\tau_{23}} \frac{1}{\cos^{6}(\tau_{12,m}\mu_{12})} \times \left(\frac{1+\mu_{12}}{\cos(\tau_{12,m}\mu_{12})+\mu_{12}\cos(\tau_{12,m})}\right)^{2m+2}.$$
(30)

For Mechanism 3B the vertical component  $E_{+}^{B}$  of  $E_{m+3}$  is as follows:

$$E^{B}_{+} = E_{0} \gamma_{12} \gamma_{23} \sin^{2} \frac{\phi_{1}}{2} \cos^{2m} \frac{\beta_{m}}{2} \sin^{2} \frac{\phi_{3}}{2}$$

$$\times \cos^{2}(\theta_{0} - \frac{\phi_{1} - m\beta_{m} + \phi_{3}}{2}), \qquad (31)$$

where use is made of  $\alpha_m = \beta_m/2$  because of the equal-mass collision. This expression has the same form as that of the sputtering mechanism Mechanism 1 of Ref. 2 except for the additional term  $\gamma_{23}$ .

Then we have very simple formula for Mechanism 3B as follows:



Fig. 8 Threshold energies of Mechanism 3 and comparison of the exact ones  $E^{A}_{th}$  (solid lines) with the approximate ones  $\tilde{E}^{A}_{th}$  (broken lines) which are calculated from Eq. (32), where the angle of incidence is normal, and m is the collision number inside the substrate.

In Fig. 8 the threshold energies of Mechanism 3 are plotted as a function of mass ratio  $\mu_{12}$  for different values m. The threshold mechanism for  $\mu_{12} < 1$  is Mechanism 3A, while that for  $\mu_{12} > 1$  Mechanism 3B. This mass-ratio dependence of  $\gamma_{23}E_{\rm th}/E_{\rm B}$  is coincident with the relative threshold energy of the sputtering yield. The present approximate formula  $E^{\rm A}_{\rm th}$  is very good for m = 1 or m = 2.

The ion-induced desorption thresholds derived in Sections 2.1, 2.2, and 2.3 are based on the assumption that the adsorbate atoms are completely

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isolated. When the adsorbate layer is enough thick, the threshold energies of Mechanism 1 become equal to those of Mechanism 3B, while Mechanism 2 is equivalent to Mechanism 3A because of  $M_2 = M_3$ . Therefore, threshold energies of the ion-induced desorption should show the same mass-ratio dependence as those of sputtering thresholds.

### **III** . RESULTS AND DISCUSSIONS

In the previous chapter the general formulae for threshold energies of different desorption mechanisms were derived based on the binary collision approximation, where we assumed that any configuration of adsorbate atoms and substrate atoms was allowed for the threshold mechanism and that the adsorbed atom were completely isolated from each other. Every formula includes the unknown parameter m which is the collision number inside the substrate. This collision number can be regarded as the effective collision number when one uses the binary collision approximation for the successive collisions of very low-energy ion.

Up to now there is no measured data on ion-induced desorptions for the near threshold energy ions. Recent works on sputtering thresholds tell us that m = 1 or m = 2 is reasonable for the estimation of sputtering thresholds, and, then, m = 2 was used in Ref. 2. Let us use again m = 2 for the desorption threshold. In Table 1 the explicit expressions for the threshold energy of each mechanism are shown.

From Table 1 we can abstract the following properties of the relative threshold energy  $\xi_{th} = E_{th}/E_b$  at the normal incidence:

- 1) When  $\mu_{32}$  is less than  $\mu_{12}$ ,  $\xi^{I}_{th}$  has the lower values than  $\xi^{II}_{th}$ , and vice versa, because  $\xi^{I}_{th}$  is an increasing function of  $\mu_{32}$ .
- 2) When  $\mu_{12}$  is less than unity, Mechanism 3A is responsible for the threshold mechanism only for M<sub>1</sub> < M<sub>2</sub> < M<sub>3</sub> where  $\gamma_{12}\gamma_{23} > \gamma_{13}$  and  $\mu_{12} < \mu_{32}$ .

Table 1Theoretical formulae of the ion-induced desorption thresholdsfor different mechanisms.

Mechanisms	Formula	
Mechanism 1 <sup>a</sup>	$\frac{E_{B}}{r_{13}} \frac{1}{\cos^{4}\theta} \left[ \frac{1 + \mu_{32}}{\cos^{4} + (\mu_{32}^{2} - \sin^{2} \theta)^{1/2}} \right]^{8}$	
Mechanism 2 <sup>b</sup>	$\frac{E_{B}}{r_{13}} \frac{1}{\cos^{4}\theta_{b}} \left[ \frac{1 + \mu_{12}}{\cos\theta_{b} + (\mu_{12}^{2} - \sin^{2}\theta_{b})^{1/2}} \right]$	. ] 8
Mechanism 3A	$\frac{E_{B}}{r_{12}r_{23}} \left[ \frac{1 + \mu_{12}}{1 + \mu_{12} \cos((\pi - \theta_{0})/3)} \right]^{6}$	
Mechanism 3B	$\frac{E_{\rm B}}{r_{12}r_{23}} = \frac{1}{\sin^{12} \{(2\pi + \theta_0)/6\}}$	

# <sup>a</sup> heta is the solution of the transcendental equation

$$2\theta + 4 \arccos \frac{(\mu^2_{32} - \sin^2 \theta)^{1/2}}{\mu_{32}} = \pi - \theta_0.$$

 $^{\mathbf{b}\cdot}\,\boldsymbol{\theta}_{\mathbf{b}}$  is the solution of the transcendental equation

$$2\theta_{b} + 4 \arccos \frac{(\mu_{12}^{2} - \sin^{2}\theta_{b})^{1/2}}{\mu_{12}} = \pi - \theta_{0}$$

- 3) For  $M_2 = M_3$ ,  $\xi^{I}_{th}$  is equal to  $\xi^{B}_{th}$ , and for  $M_1 = M_2$ ,  $\xi^{II}_{th}$ and  $\xi^{A}_{th}$  are equal to  $\xi^{B}_{th}$ .
- 4) For the finite value of  $\mu_{23}$ ,  $\xi^A_{th}$  has the minimum value at  $\mu_{12} = 0.275$ .
- 5) The relative threshold energy  $\xi^{B}_{th}$  has the minimum value at M<sub>2</sub> =  $\sqrt{M_{1}M_{2}}$ .

In the desorption process we have to treat three different ion-target combinations. Moreocer, it is very difficult to discuss the threshold energies by some simple scaling rule. In the following sections the projectile ( $M_1$ ) dependence, the substrate ( $M_2$ ) dependence, the adsorbate ( $M_3$ ) dependence, and the bombarding-angle ( $\theta_0$ ) dependence of desorption thresholds will be discussed one by one.

3.1 The projectile dependence of threshold energies at normal incidence In Fig. 9, 10 and 11 the relative threshold energies  $E_{th}/E_B$  at normal incidence are plotted against the atomic mass (M<sub>1</sub>) of the projectile for H on W, S on Ni, and S on Si which correspond to the cases of  $\mu_{32}$  << 1,  $\mu_{32}$  < 1, and  $\mu_{32}$  > 1, respectively

In the case of H on W (Fig. 9) the threshold energy of light-ion is nearly equal to unity, and the threshold mechanism is Mechanism 1 for any projectile because the condition of  $\mu_{32} < \mu_{12}$  is satisfied for all projectiles. The M<sub>1</sub> dependence of this case is given as

$$\frac{E_{\text{th}}}{r_{\text{B}}} = \frac{1}{r_{31}} \left( \frac{1 + \mu_{32}}{1 + \mu_{32}/\sqrt{2}} \right)^{8} .$$
(33)  
$$E_{\text{B}} = \frac{r_{31}}{r_{31}} \left( \frac{1 + \mu_{32}}{1 + \mu_{32}/\sqrt{2}} \right)^{8} .$$

Since  $\gamma_{31}$  is a decreasing function of M<sub>1</sub>, the relative threshold energy is an increasing function of M<sub>1</sub>.

In the case of S on Ni (Fig. 10) Mechanism 2 is responsible for the threshold mechanism until  $M_1 = 32$  which is equal to the atomic mass of



Fig. 9 The M<sub>1</sub>-dependences of threshold energies of various desorption mechanisms for H on W, where  $\theta_0=0$ .

Fig. 10 The same as Fig. 9, but for S on Ni.

Fig. 11 The same as Fig. 9, but for S on Si.

the adsorbate S. In the region of  $M_1 < 32$ ,  $\mu_{12} < 1$ ,  $\mu_{32} > 1$ , and  $M_3$  is less than  $M_2$ . This is why the threshold mechanism is Mechanism 1 is Mechanism 2. For  $M_1 > 32$  the mass ratio  $\mu_{32}$  is less than unity, and so Mechanism 1 is responsible for the threshold mechanism. The  $M_1$  dependence of this case is reasonably predicted by the approximate formula of Eqs. (24) and (15).

In the case of S on Si (Fig. 11) the atomic masses of S and Si are nearly equal, and so the threshold energies of this system is roughly equal to those of Mechanism 3. When the adsorbate layer is thick, the atomic mass of adsorbate atom is equal to that of the substrate atom. This means that the difference between Mechanism 1 and Mechanism 3B disappears and that Mechanism 2 is equivalent to Mechanism 3A. Therefore, the

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 $M_1$ -dependence of this case is given as







Fig. 12 The M<sub>2</sub>-dependences of threshold energies of various desorption mechanisms for lle $\rightarrow$ S on M<sub>2</sub>, where  $\theta_0 = 0$ .

Fig. 13 The same as Fig.12, but for  $0 \rightarrow 0$  on M<sub>2</sub>.

Fig. 14 The same as Fig. 12, but for  $Xe \rightarrow 0$  on M<sub>2</sub>.

3.2 The substrate dependence of threshold energies at normal incidence

In Figs. 12, 13 and 14 the relative threshold energies  $E_{th}/E_B$  at normal incidence are plotted as a function of the atomic mass (M<sub>2</sub>) of the substrate atom for three different projectile-substrate combinations, i.e., He-S, O-O, and Xe-O. These three combinations corresponds to the case of  $\mu_{13} < 1$ ,  $\mu_{13} = 1$ , and  $\mu_{13} > 1$ , respectively.

In the case of He-S (Fig. 12) Mechanism 3B is the threshold mechanism for  $M_2 < 4$  where  $\mu_{12} > 1$  and  $\mu_{32} > 1$ . For  $M_2 > 4$  the mass ratio  $\mu_{12}$  is less than unity and so there is the possibility that Mechanism 3A or Mechanism 2 becomes the threshold mechanism. In the gion of  $4 < M_2 <$ 32,  $\gamma_{12}\gamma_{23}$  is larger than  $\gamma_{13}$  and so Mechanism 3A is responsible for the threshold mechanism. For  $M_2 > 32$  Mechanism 2 is the threshold mechanism and the condition of  $\mu_{32} << 1$  is satisfied. Then the  $M_2$  dependence of the region of  $M_2 > 32$  is roughly expressed as

$$\frac{E_{\text{th}}}{E_{\text{B}}} = \frac{1}{r_{13}} \left( \frac{1 + \mu_{12}}{1 + \mu_{12}/\sqrt{2}} \right)^{8} . \tag{35}$$

In the case of O-O (Fig. 13) the threshold energy of Mechanism 1 is equal to that of Mechanism 2. Until  $M_2 = 16$  the mass ratio  $\mu_{12}$  is larger than unity and so Mechanism 3B is responsible for the threshold mechanism. From Figs. 12 and 13 we know that for  $\mu_{12} > 1$  Mechanism 3B is always the threshold mechanism. Since  $\mu_{12} < 1$  and  $M_2 > M_3$  for  $M_2 > 16$ , the threshold mechanism is Mechanism 1 or 2 and the  $M_2$  dependence is well described by Eq. (15).

In the case of Xe-O (Fig. 14) the mass ratios  $\mu_{12}$  and  $\mu_{32}$  are larger than unity until  $M_2 = 16$  and so the threshold mechanism 3B. The threshold-energy curve of Mechanism 3B has the minimum value at  $M_2 = 58.7$ which corresponds to  $\sqrt{M_1M_2}$ . For  $M_2 > 16$  the mass ratio  $\mu_{32}$  is less than unity and so Mechanism 1 becomes the threshold mechanism.

### 3.3 Adsorbate dependence of threshold energies at normal incidence

In Figs. 15, 16 and 17 the relative threshold energies  $E_{th}/E_B$  at normal incidence are plotted against the atomic mass (M<sub>3</sub>) of the adsorbate atom for three different projectile-substrate combinations, i.e., H+Ni, Ar+W and Xe+Ni. These three combinations correspond to the case of  $\mu_{12}$  << 1,

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 $\mu_{12}$  < 1 and  $\mu_{12}$  > 1, respectively.



Fig. 15 The M<sub>3</sub>-dependences of threshold energies of various desorption mechanisms for H $\rightarrow$ M<sub>3</sub> on Ni,where  $\theta_0 = 0$ .

Fig. 16 The same as Fig. 15, Fig. 17 The same as Fig. but for  $Ar \rightarrow M_3$  on W. 15, but for  $Xe \rightarrow M_3$  on Ni.

In the case of H+Ni (Fig. 15) the threshold mechanism is Mechanism 2 until  $M_3 = 58.7$  where the condition of  $\mu_{12} << \mu_{32} <1$  is satisfied. When  $M_2$  becomes larger than 58.7 the inequality  $M_1 < M_2 < M_3$  is held and so the threshold mechanism is Mechanism 3A. For  $\mu_{12} << 1$  the  $M_3$  dependence of Mechanism 2 is represented by Eq. (35), and that of Mechanism 3A is given by the upper formula of Eq. (34). AS the threshold-energy formula of Mechanism 3A for  $\mu_{12} << 1$ , we have the following expression [6]:

$$\frac{E_{th}}{E_B} = \frac{1}{\gamma_{12}\gamma_{23}(1-\gamma_{12})},$$
 (36)

which can be easily derived from Eq. (30) if one set m = 0 under the condition of  $\mu_{12}$  << 1.

In the case of Ar+W (Fig. 16) Mechanism 1 is the threshold mechanism until  $M_3 = 39.35$  where the inequality  $\mu_{32} < \mu_{12} < 1$  is satisfied. In the region of 39.5 <  $M_2 < 183.9$  the condition of  $\mu_{12} < \mu_{32} < 1$  is satisfied and so Mechanism 2 is the threshold mechanism.

In the case of Xe+Ni (Fig. 17) Mechanism 1 is the threshold mechanism until  $M_3 = 58.7$ , because of  $\mu_{32} < 1$  and  $\mu_{12} > 1$ . When  $M_3$  is larger than 58.7 Mechanism 3B is threshold mechanism of which curve has the minimum value at  $M_3 = 58.7$ .

From Figs. 9 through 17 we know that Mechanism 1 can not be responsible the threshold mechanism for  $\mu_{32} > 1$  and Mechanism 2 does not becomes the threshold mechanism for  $\mu_{12} < 1$ . In Table 2 we summarize possible combinations of the projectile, substrate and adsorbate, and the corresponding threshold mechanism, and the corresponding analytical formula at nottoo-oblique incidence.

### 3.4 The bombarding-angle dependence of threshold energies

As is known from Table 2 each analytical formula has different bombarding-angle dependence. The bombarding-angle dependence of the threshold energy of Mechanism 3B is the strongest among the present formulae and so even if Mechanism 3B is not the threshold mechanism at small angles of incidence there is the possibility that Mechanism 3B will become responsible for the threshold mechanism at large angles of incidence.

When the angle of incidence becomes large, we should take into account the shadowing effect and the effective periodicity of surface atoms except for Mechanism 1 [2]. At present the binding energy of the adsorbate atom is not well established for any adsorbate substrate combination. Therefore it is very difficult to estimate the shadowing effects

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Analytical formulae of ion-induced desorption thresholds for possible Table 2

adsorbate
the
and
substrate
the
projectile,
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ч
combinations

Formula	$\frac{E_{B}}{\gamma_{12}\gamma_{23}} \left( \begin{array}{c} 1+\mu_{12} \\ 1+\mu_{12}\cos\{(\pi-\theta_{0})/3\} \end{array} \right)^{6}$	$\frac{E_{B}}{\gamma_{13}} = \frac{1}{\cos^{4} \left\{ \frac{(\pi - \theta_{0})\mu_{12}}{4 + 2\mu_{12}} \right\}} \left[ \frac{1 + \mu_{12}}{\cos \left\{ \frac{(\pi - \theta_{0})\mu_{12}}{4 + 2\mu_{12}} \right\} + \mu_{12} \cos \frac{\pi - \theta_{0}}{4 + 2\mu_{12}}} \right]^{8}$	$\frac{\mathbf{F}_{\mathbf{B}}}{\gamma_{12}\gamma_{23}} \frac{1}{\sin^{12}\frac{2\pi+\theta_0}{6}}$	$\frac{E_{B}}{\gamma_{13}} = \frac{1}{\cos^{4}\left\{\frac{(\pi-\theta_{0})\mu_{32}}{4+2\mu_{32}}\right\}} \left[\frac{1+\mu_{32}}{\cos\left\{\frac{(\pi-\theta_{0})\mu_{32}}{4+2\mu_{32}}\right\} + \mu_{32}\cos\frac{\pi-\theta_{0}}{4+2\mu_{32}}}\right]^{8}$
Threshold mechanism	Mechanism 3A	Mechanism 2	Mechanism 3B	Mechanism 1
Combinations	м_ < м <sub>3</sub>	м <sub>1</sub> < м <sub>3</sub> < м <sub>2</sub>	ਸੂ < ਸੂ < ਸੂ ਨੂ < ਸੂ < ਸੂ	ਸ <sub>3</sub> < ਸ <sub>2</sub> < ਸ <sub>2</sub> ਸ <sub>3</sub> < ਸ <sub>2</sub> < ਸ

reasonably and so in this paper this effect is not considered. In Figs. 18 through 23 the bombarding-angle dependences of threshold energies of four mechanisms are shown for possible mechanisms listed in Table 2, i.e.,

1)	Fig.	18	:	<sup>M</sup> 1	<	<sup>M</sup> 2	<	мз	(He	<b>→</b>	S	on	Si)
2)	Fig.	19	:	$M_1$	<	м <sub>з</sub>	<	$M_2$	(H	<b>→</b>	S	on	Ni)
3)	Fig.	20	:	$M_2$	<	M <sub>1</sub>	<	м <sub>з</sub>	(Si	→	S	on	Al)
4)	Fig.	21	:	M2	<	М <sub>З</sub>	<	$M_1$	(Ar	<b>→</b>	S	on	Si)
5)	Fig.	22	: •	М <sub>З</sub>	<	M <sub>1</sub>	<	M <sub>2</sub>	(Ar	→	S	on	W)
6)	Fig.	23	:	M <sub>3</sub>	<	M <sub>2</sub>	<	M <sub>1</sub>	(Xe	<b>→</b>	S	on	Ni)



Fig. 18 The  $\theta_0$ -dependences of threshold energies of various desorption mechanisms for He $\rightarrow$ S on Si.

Fig. 19 The same as Fig. 18, but for H→S on Ni.

Fig. 20 The same as Fig. 18, but for  $Si \rightarrow S$  on Al.

The threshold mechanisms of these six combinations at small angle of incidence are Mechanism 3A, Mechanism 2, Mechanism 3B, Mechanism 3B, Mechanism 1, and Mechanism 1, respectively, which are coincident with the threshold mechanisms of Table 2.



Fig. 21 The same as Fig. 18 but for  $Ar \rightarrow S$  on Si.

Fig. 22 The same as Fig. 18, but for Ar→N on W.

Fig. 23 The same as Fig. 18, but for  $Xe \rightarrow H$  on Ni.

Except for Fig. 23 the threshold mechanism does not change in the whole region of  $\theta_0$ . In the case of  $M_3 < M_2 < M_1$  the mass ratio  $\mu_{12}$  is larger than unity and so  $E^B_{th}$  has the second minimum value. This is why Mechanism 3B is responsible for the threshold mechanism for larger angles of incidence.

## IV. CONCLUSIONS

Assuming the random configuration of the adsorbate atom and the substrate atom, analytic formulae of the ion-induced desorption thresholds have been derived for various combinations of the projectile, the substrate atom, and the adsorbate atom, where we adopted the isolatedadsorbate-atom model.

The threshold mechanism corresponds to the minimum energy-loss process. The minimum energy-loss condition says that the particle with the smallest

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mass among the projectile, the substrate atom and the adsorbate atom should move in solids.

Within the present model of the isolated adsorbate atoms, it is found that the combinations of the projectile, the substrate atom, and the adsorbate atom can be classified into four categories, i.e.,

1) 
$$M_1 < M_2 < M_3$$
, 2)  $M_1 < M_3 < M_2$ ,

3)  $\mathrm{M}_2$  <  $\mathrm{M}_1$  ,  $\mathrm{M}_3$  , 4)  $\mathrm{M}_3$  <  $\mathrm{M}_1$  ,  $\mathrm{M}_2$  ,

where  $M_1$ ,  $M_2$ , and  $M_3$  are the atomic masses of the projectile, the substrate atom, and the adsorbate atom, respectively. These four categories have different analytic formulae of ion-induced desorption thresholds.

In the case of the third category mentioned above, the threshold energy is a decreasing function of the angle of incidence, meanwhile the threshold energies of other three cases do not depend on the angle of incidence so strongly.

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Available upon request to Research Information Center, Institute of Plasma Physics, Nagoya University, Nagoya 464, Japan, except for the reports noted with\*.